

STATE GAMING CONTROL BOARD

INSTRUCTIONS TO APPLICANTS FOR A LICENSE TO CONDUCT RACING

AN APPLICANT FOR A LICENSE TO CONDUCT RACING IS SEEKING THE GRANTING OF A PRIVILEGE AND THE BURDEN OF PROVING HIS QUALIFICATIONS TO RECEIVE SUCH A LICENSE IS AT ALL TIMES ON THE APPLICANT. AN APPLICANT MUST ACCEPT ANY RISK OF ADVERSE PUBLIC NOTICE, EMBARRASSMENT, CRITICISM, FINANCIAL LOSS, OR OTHER ACTION, WHICH MAY RESULT FROM ACTION WITH RESPECT TO AN APPLICATION, AND EXPRESSLY WAIVES ANY CLAIM FOR DAMAGES AS A RESULT THEREOF.

The following forms and items must be submitted to the State Gaming Control Board in conjunction with your application to conduct racing:

1. Application for a License to Conduct Racing: To be filed in duplicate.
 - (a) Form 8 is to be submitted by corporations, partnerships, and limited liability companies.
 - (b) Form 9 is to be submitted by individual applicants, key employees, or by applicants seeking an interest in an existing licensed operation or by presently licensed applicants of a licensed corporation who are changing officer and/or director positions.
2. Form 7, Multi Jurisdictional Personal History Disclosure Form: To be filed in duplicate by each corporate officer, director, member, or equity holder. The applicant agrees to provide any additional information the Board may require, e.g., birth certificate, military discharge papers, passports, litigation in which the applicant has been named as a plaintiff or defendant, income tax returns.
3. Form 7A, Nevada Supplemental Personal History Disclosure Form: To be filed in duplicate by each applicant.
4. Fingerprint Cards: Three completed fingerprint cards for each individual applicant must be submitted. Fingerprinting may be completed at any law enforcement facility. Each applicant must sign the fingerprint cards.
5. Form 10, Affidavit of Full Disclosure: To be filed in duplicate by each individual required to be licensed or found suitable.
6. Form 17, Release and Indemnity of All Claims: To be filed in duplicate by each individual required to be licensed or found suitable.
7. Form 18, Request to Release Information: To be filed in duplicate by each individual required to be licensed or found suitable. If the applicant is married, the applicant's spouse must also sign this form.
8. Two copies of each of the following (as applicable):
 - (a) Partnership Agreement (Gaming language required for limited partnerships);
 - (b) Trust Agreement (Gaming language required);
 - (c) Joint Venture Agreement;
 - (d) Articles of Incorporation;
 - (e) Articles of Organization (Gaming language required);
 - (f) All Lease Agreements;
 - (g) Purchase/Sale Agreement;
 - (h) Management Agreement;
 - (i) Employment Contract;
 - (j) Stock, bonus or profit sharing plans.

9. A general description of the nature of the business of the applicant.
10. A complete list of all stockholders, members, and/or partners showing the number of shares and/or interest of record held by each.
11. If the applicant has been in business for three or more fiscal years, balance sheets and profit and loss statements for the past three fiscal years, certified by independent public accounts certified or registered in the state of Nevada, must be submitted to the Board. If the entity has not been in existence for the past three fiscal years, such statements covering the period from the creation of the business to the present must be submitted.
12. If the business entity is seeking initial licensing, a Statement of Pre-Opening Cash and First Year Cash Flow Projection (Form 6) must be submitted.
13. A check or money order in the amount of \$500 per applicant or entity, to cover the application fee. Checks are to be made payable to the State Gaming Control Board.
14. Costs of investigation will be charged as provided by statute and regulation. The total cost of the investigation is to be borne by the applicant. This cost includes all transportation, food and lodging; plus an hourly charge for the time expended by the agent or agents assigned to the application. Before the investigation can begin the applicant must provide a deposit in an amount equal to the total estimated expenses, including the hourly charges. Hourly expenses will be charged for travel time and for the time expended while agents are engaged in the investigation process.

NOTICE

**AN APPLICATION MAY NOT BE WITHDRAWN WITHOUT THE
PERMISSION OF THE STATE GAMING CONTROL BOARD**